

02/05/01  
JC986 U.S. PTO

Practitioner's Docket No. P.19077

PATENT

JC986 U.S. PTO  
09/775645  
02/05/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of

Inventor(s): Sean Reveille Llewelyn

For (title): PROPERTY FUTURES EXCHANGE

1. Type of Application

This transmittal is for an original (nonprovisional) application.

CERTIFICATION UNDER 37 C.F.R. SECTIONS 1.8(a) AND 1.10\*

(When using Express Mail, the Express Mail label number is **mandatory**;  
Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

☐ deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

37 C.F.R. Section 1.8(a)

37 C.F.R. Section 1.10\*

☐ with sufficient postage as first class mail.

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TRANSMISSION

☐ transmitted by facsimile to the Patent and Trademark Office (703) \_\_\_\_\_.

Date: \_\_\_\_\_

Signature \_\_\_\_\_

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(type or print name of person certifying)

**\*WARNING:** Each paper or fee filed by "Express Mail" **must** have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. Section 1.10(b).  
"Since the filing of correspondence under [Section] 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will **not** be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

(New Application Transmittal--page 1 of 4)

**2. Papers Enclosed**

**A.** Required for filing date under 37 C.F.R. 1.53(b) (Regular) or 37 C.F.R. 1.153 (Design) Application

15 Page(s) of Specification  
2 Page(s) of Claims  
1 Sheet(s) of Drawing(s)--Formal

**B.** Other Papers Enclosed

3 Page(s) of declaration and power of attorney  
1 Page(s) of abstract

**3. Declaration or Oath**

Enclosed

Executed by:  
\* inventor.

**4. Fee Calculation (37 C.F.R. Section 1.16)**

Regular Application

| CLAIMS AS FILED  |              |                     |              |          |                                      |
|--|--------------|---------------------|--------------|----------|--------------------------------------|
| Claims   | Number Filed | Basic Fee Allowance | Number Extra | Rate     | Basic Fee 37 CFR 1.16(a)<br>\$710.00 |
| Total Claims<br>(37 CFR 1.16(c))                           | 11           | - 20 =              | 0 x          | \$18.00  | \$0.00                               |
| Independent Claims<br>(37 CFR 1.16(b))                     | 1            | - 3 =               | 0 x          | \$80.00  | \$0.00                               |
| Multiple Dependent<br>Claim(s), if any<br>(37 CFR 1.16(d)) |              |                     | +            | \$270.00 | \$0.00                               |

Filing Fee Calculation \$710.00

**5. Small Entity Status**

This application is entitled to small entity status.

Filing Fee Calculation (50% of above) \$355.00

**6. Fee Payment Being Made at This Time**

Enclosed

Filing Fee \$355.00

**Total Fees Enclosed** \$355.00

**7. Method of Payment of Fees**

Check in the amount of \$355.00 is attached.

**8. Authorization to Charge Additional Fees**

The Commissioner is hereby authorized to charge the following additional fees by this paper and during the entire pendency of this application to Account No. 10-1213.

37 C.F.R. Section 1.16(a), (f) or (g) (filing fees)

37 C.F.R. Section 1.16(b), (c) or (d) (presentation of extra claims)

37 C.F.R. Section 1.17 (application processing fees)

**9. Instructions as to Overpayment**

Credit Deposit Account No. 10-1213.

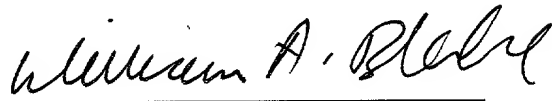
**ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF  
PRIOR U.S. APPLICATION CLAIMED**

**10. Relate Back - 35 U.S.C. Section 119(e)**

Amend the specification by inserting, before the first line, the following sentence:

"This application claims the benefit, under 35 U.S.C. 119 (e), of U.S. Provisional  
Application No. 60/180,125, filed February 3, 2000."

Date: February 5, 2001



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